Docket No. : 121

**12194-3/JWE** (previously 12194-1)



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STRADLING YOCCA CARLSON & RAUTH P.O. Box 7680 Newport Beach, CA 92660-6441 (949) 725-4000

Applicant or Patentee Application or Patent No.

Rogerson, et al. 09/613,340

Filed or Issued

July 10, 2000

Entitled :

MODULAR ENTERTAINMENT SYSTEM CONFIGURED FOR MULTIPLE BROADBAND CONTENT DELIVERY INCORPORATING A DISTRIBUTED SERVER

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR § 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

the owner of the small business concern identified below:
an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN : Rogerson Aircraft

ADDRESS OF SMALL BUSINESS CONCERN : 2201 Alton Parkway, Irvine, California 92606

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for the purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

	reby declare that rights under contract law have been conveyed to and remain with the small butified above with regard to the invention entitled by inventor(s)	
	_ the specification filed herewith	
<u> </u>	Application No. <u>09/613,340</u> filed <u>July 10, 2000</u>	
	Patent No issued	

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person, made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

#### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR § 1.9(f) and 1.27(c)) SMALL BUSINESS CONCERN

**Docket No.: 12194-3/JWE** (previously 12194-1)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

NAME ADDRESS	:	INDIVII	DUAL SN	MALL BUSI	NESS CONCERN	_	_ NONPROF	IT ORGANIZAT	ΓΙΟΝ
NAME ADDRESS	:	INDIVII	DUAL SN	MALL BUSI	NESS CONCERN	_	_ NONPROF	IT ORGANIZAT	ΓΙΟΝ
information a willful false s Title 18 of t	and belief a tatements the United	are believed and the like States Co	to be true; a so made ar de, and tha	and further re punisha it such w	n knowledge are that these staten ble by fine or imp illful false statem o which this verifie	nents wer prisonment nents may	e made with t, or both un ' jeopardize	the knowledg der Section 1 the validity	ge that 001 of
NAME OF PI	ERSON S	IGNING		:	Michael Rogerson	n			
TITLE OF PE			AN OWNER	₹ :	CEO				
ADDRESS C	OF PERSO	N SIGNING	i	:	2201 Alton Parkw	vay, Irvine	, California 9	92606	
SIGNATURE	<u> </u>		1-		DATE	2/1/0	1		
JWE/mg			J		•	/ /-			







Rev. 5/00

Docket No.: 12194-3/JWE (previously 12194-1)

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATIONS

PATENT

As an inventor, I declare:						
name is listed below), or a joint inve	entor (if plural names are listed below) of th	re I am the original, first and sole inventor (if only be invention claimed in the patent application enti DADBAND CONTENT DELIVERY INCORPORATION				
A DISTRIBUTED SERVER the spe		ABBAND CONTENT BELIVERY INCOMPONENT				
is attached.						
X was filed on <u>July 10, 2000</u> as	Serial No. <u>09/613,340</u> and was amended o	on(if applicable).				
	the duty to disclose information which	ding the claims, as amended by any amendn is material to the patentability of this applica				
I hereby claim the benefit un	der Title 35, U.S.C. § 119(e) of any United	States provisional application(s) listed below.				
Application Serial Number	Filing Date					
I claim foreign priority benefits under 35U.S.C. § 119(a)-(d) of the foreign application(s) for patent or inventor certificate listed below, and I have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.						
Prior Foreign Application(s)		Priority Claimed				
Number	Country	Day/Month/Year Yes No				
discloses and claims subject matter of 35 U.S.C. § 112, I acknowledge to	not disclosed in the prior United States app ne duty to disclose material information as d	cation(s) listed below and, insofar as this applicated belication in the manner provided by the first paraged defined in 37CFR § 1.56 which occurred between by International filing date of this application:				
Application Serial Number	Filing Date	Patented/Pending/Aband				
believed to be true; and further that t	hese statements were made with the knowle ent, or both, under 18 U.S.C. § 1001, and	nat all statements made on information and belie edge that willful false statements and the like so n that such willful false statements may jeopardize				
POWER OF ATTORNEY: I The undersigned applicant(s) for the above-identified application hereby appoint(s) John W. Eldredg (37, 613):						
4000, as principal attorney with pov application under the Patent Coope connected with either of them in ac	ver to appoint associate attorneys, to prose ration Treaty based on it and to transact all cordance with instructions from the assigne	I business in the U.S. Patent and Trademark Off see of the entire interest in this application; or fron				
in the event the part authority under this Power of Attorn		ned; or from				

**SEND CORRESPONDENCE TO:** 

STRADLING YOCCA CARLSON & RAUTH, 1600 Newport Center Drive, Suite 1600

Newport Beach, California 92660

DIRECT TELEPHONE CALLS TO: Attorney: John W. Eldredge (949) 725-4143

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## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATIONS

PATENT

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Full Name of First or Sole Inventor Michael Rogerson	Inventor's Signature	2//01
Residence and Post Office Address		Citizenship
2201 Alton Parkway, Irvine, California 9260	6	US

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on February 12, 2001

Signature

10 Applicant

: Michael Rogerson

Application No.:

: 09/613,340

Filed

: July 10, 2000

Title

: MODULAR ENTERTAINMENT SYSTEM CONFIGURED FOR

MULTIPLE BROADBAND CONTENT DELIVERY

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3.0

INCORPORATING A DISTRIBUTED SERVER

Grp./Div. Examiner

: Not yet assigned: Not yet assigned

20 Client Matter No.

: 12194-3/JWE

STATEMENT UNDER 37 CFR § 1.48(f)(1)

25 Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7680 Newport Beach, CA 92660-6441 February 12, 2001

#### Commissioner:

In response to the Notice of Incomplete Reply, dated December 26, 2000, Applicant wishes to respond as follows.

In filing the application, Jefferson Amacker was incorrectly cited as inventor. Upon filing the Declaration and Power of Attorney, Mr. Amacker's name was retained on the formal documentation, but the Declaration was only executed by the sole inventor, Michael Rogerson.

35 Mr. Amacker's name was retained on the Declaration through oversight and without deceptive intent on the part of Applicant's attorneys.

### **Application No. 09/613,340**

Applicant submits herewith a Declaration under 37 CFR § 1.63, executed solely by Michael Rogerson, as sole inventor, in accordance with 37 CFR 37 § 1.48(f)(1). Applicant earnestly solicits correction of this oversight in Office records.

Please address all correspondence to STRADLING YOCCA CARLSON & RAUTH,

5 IP Department, 660 Newport Center Drive, Suite 1600, P.O. Box 7680, Newport Beach,

California 92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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JWE/mg

By:

John W. Eldredge Reg. No. 37,613

(949) 725-4143